

Grantee: Empire State Development Corporation (NYS)

Grant: B-02-DW-36-0002

January 1, 2012 thru March 31, 2012 Performance Report



Grant Number:

B-02-DW-36-0002

Obligation Date:

09/15/2003

Award Date:**Grantee Name:**

Empire State Development Corporation

Contract End Date:**Review by HUD:**

Reviewed and Approved

Grant Amount:

\$783,000,000.00

Grant Status:

Active

QPR Contact:

No QPR Contact Found

Estimated PI/RL Funds:

\$0.00

Total Budget:

\$783,000,000.00

Disasters:

Declaration Number

No Disasters Found

Narratives

Disaster Damage:

The Lower Manhattan Development Corporation was created in the aftermath of September 11, 2001 by Governor Pataki and then-Mayor Giuliani to help plan and coordinate the rebuilding and revitalization of Lower Manhattan, defined as everything south of Houston Street. The LMDC is a joint State-City corporation governed by a 16-member Board of Directors, half appointed by the Governor of New York and half by the Mayor of New York. LMDC is charged with ensuring Lower Manhattan recovers from the attacks and emerges even better than it was before. The World Trade Center attacks resulted in a staggering loss of life and extensive physical destruction to Lower Manhattan. Approximately 30 million square feet of commercial space was damaged or eliminated, and seven buildings in the World Trade Center site were completely leveled. Critical transportation infrastructure was disrupted or obliterated, including the PATH station, the 1/9 subway line and sections of Route 9A and Church Street. Vehicular access to the area south of Canal Street was prohibited for seven days. As a result of the tragedy, residential occupancy rates in the immediate region dropped to 60%, over 100,000 jobs were displaced, and small and large businesses struggled to maintain viability. The World Trade Center attacks inflicted widespread destruction upon the energy (electric, gas, and steam) and telecommunications utility infrastructure, resulting in extensive disruptions in service to the business and residential communities of Lower Manhattan. The energy and telecommunications firms responded rapidly in the aftermath of the disaster to provide emergency and temporary services and they are currently working to restore permanent service to all customers located within Lower Manhattan. The costs to deliver emergency and temporary services and to rebuild damaged infrastructure for permanent service delivery are considerable and have been borne by the affected utility service providers to the extent not covered by their insurance providers or funds from other sources such as lawsuits.

Recovery Needs:

The Lower Manhattan Development Corporation (LMDC) has prepared the following Partial Action Plan with regard to the \$783 million federal appropriation administered by the United States Department of Housing and Urban Development (HUD) for damaged properties and businesses (including the restoration of utility infrastructure) as well as for economic revitalization related to the terrorist attacks at the World Trade Center. LMDC received a separate \$2 billion federal appropriation through HUD for the World Trade Center disaster recovery and rebuilding efforts. This Plan details LMDCs proposed expenditure of \$750 million to fund a program to restore, rebuild, and revitalize utility infrastructure in Lower Manhattan. The program will be administered by Empire State Development (ESD), New York States economic development agency, in cooperation with the New York City Economic Development Corporation (EDC), and in consultation with the Lower Manhattan Development Corporation. This plan also details LMDCs proposed expenditure of \$33 million of that appropriation to fund a program to assist businesses in Lower Manhattan that suffered disproportionate loss of life as a result of the attacks on September 11, 2001. The program will be administered by Empire State Development (ESD), New York States economic development agency in cooperation with the New York City Economic Development Corporation (EDC).

Overall

	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$1,612,883,304.00
Total Budget	\$0.00	\$783,000,000.00
Total Obligated	(\$137,000,000.00)	\$643,500,000.00
Total Funds Drawdown	\$43,485.63	\$601,310,866.10



Program Funds Drawdown	\$43,485.63	\$601,310,866.10
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$43,485.63	\$601,578,720.81
Match Contributed	\$0.00	\$0.00

Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		0.00%
Overall Benefit Percentage (Actual)		0.00%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$0.00	\$0.00
Limit on Admin/Planning	\$0.00	\$11,985,712.43
Limit on State Admin	\$0.00	\$11,985,712.43

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

Overall Progress Narrative:

Please see the individual activities for the quarterly progress reports.

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
0201, Emergency&TempServResponse	\$0.00	\$159,830,994.00	\$159,830,994.00
0202, Permanent Restore & Improve	\$0.00	\$207,033,415.00	\$207,033,415.00
0203, Service Interference	\$0.00	\$116,517,678.00	\$116,517,678.00
0204, Carrier Neutral Conduits	\$0.00	\$0.00	\$0.00
0205, Redundant Fiber Infrastructure	\$0.00	\$0.00	\$0.00
0206, Infrastructure Improvements	\$0.00	\$0.00	\$0.00
0233, Disproportionate Loss	\$0.00	\$33,000,000.00	\$32,999,997.00
0300, Other World Trade Center Area Improvements	\$0.00	\$196,617,913.00	\$24,993,174.16
0900, Administration	\$405.00	\$17,500,000.00	\$11,985,712.43
130L-S2-0171, 130L-S2-0171	\$43,080.63	\$52,500,000.00	\$47,949,895.51



Activities

Grantee Activity Number:	130L-S2-0171
Activity Title:	130 Liberty Street

Activity Category:

Rehabilitation/reconstruction of public facilities

Project Number:

130L-S2-0171

Projected Start Date:

05/18/2004

Benefit Type:

Area ()

National Objective:

Slums and Blight

Activity Status:

Under Way

Project Title:

130L-S2-0171

Projected End Date:

03/31/2013

Completed Activity Actual End Date:

Responsible Organization:

Lower Manhattan Development Corporation

Overall	Jan 1 thru Mar 31, 2012	To Date
Total Projected Budget from All Sources	N/A	\$52,500,000.00
Total Budget	\$0.00	\$52,500,000.00
Total Obligated	\$0.00	\$52,500,000.00
Total Funds Drawdown	\$43,080.63	\$47,949,895.51
Program Funds Drawdown	\$43,080.63	\$47,949,895.51
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$43,080.63	\$48,217,749.90
Lower Manhattan Development Corporation	\$43,080.63	\$48,217,749.90
Match Contributed	\$0.00	\$0.00

Activity Description:

The former Deutsche Bank Building at 130 Liberty Street was severely damaged on September 11, 2001 and was Unoccupied for several years. LMDC acquired the 130 Liberty Site in 2004. Partial Action Plan 7 and its amendments provided funding for the acquisition of the property in August 2004 as well as subsequent costs to insure, manage, monitor, abate and deconstruct the building at the site. The costs associated with the abatement and deconstruction exceeded the amounts allocated in Partial Action Plan 7. This amended partial action plan S-2 allocates \$37,500,000 within HUD Grant B02DW360002 for the 130 Liberty Street deconstruction Program under this activity 130L-S2-0171. LMDC is seeking to recover costs for the 130 Liberty Deconstruction Program from the general contractor based on available contract claims, including indemnification and insurance. LMDC also successfully obtained financial contributions from the prior insurers of the building in accordance with the Deconstruction Funding and Settlement Agreement and Deutsche Bank related to the cost of abatement of hazardous material that existed in the building before September 11, 2001. Funds recovered were utilized to complete the Deconstruction Program.

Location Description:

Activity Progress Narrative:

130 LIBERTY BUILDING DECONSTRUCTION - During the quarter ending March 31, 2011 LMDC processed payments for our owner's representative, environmental, and integrity monitoring consultants. LMDC staff and our Owners Representative



continued to monitor its contractors work on a punch-list and contract closeout. The physical deconstruction of 130 Liberty Street was completed on February 28, 2011. LMDC and various contractors are in litigation relating to the abatement and deconstruction of 130 Liberty Street.

Upon the completion of deconstruction, LMDC granted access to the 130 Liberty Street site to the Port Authority of New York and New Jersey on March 5, 2011. The Port Authority of New York and New Jersey has been using the northern portion of the site to work on the construction of the Vehicular Security Center which is vital component of the World Trade Center site. In addition, the Port Authority prepared the southern portion of the 130 Liberty Street site to be used for pedestrian access to the World Trade Center Memorial on September 9, 2011. Over two million people have accessed the memorial through this area.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
LMDC CDBG Grant Number B-02-DW-36-0001	\$239,617,180.00
Proceeds from Dispute Settlement	\$106,156,152.00
Total Other Funding Sources	\$0.00



Grantee Activity Number: LM-0300-BRIDGE

Activity Title: Bridge over West Street at W. Thames

Activity Category:

Acquisition, construction, reconstruction of public facilities

Activity Status:

Planned

Project Number:

0300

Project Title:

Other World Trade Center Area Improvements

Projected Start Date:

01/01/2012

Projected End Date:

12/31/2014

Benefit Type:

()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

Battery Park City Authority

Overall

	Jan 1 thru Mar 31, 2012	To Date
Total Projected Budget from All Sources	N/A	\$20,000,000.00
Total Budget	\$20,000,000.00	\$20,000,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
Battery Park City Authority	\$0.00	\$0.00
Lower Manhattan Development Corporation	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

PedestrianBridgeoverWest StreetatWest Thames Street--The new pedestrian bridge will create an alternate safe crossing of West Street and provide an improved connection between Battery Park City with its open spaces, recreational facilities, residences and commercial buildings and the rest of Lower Manhattan. The bridge will benefit Lower Manhattan residents, workers, and visitors as well as parents and children attending the new Public School 276 that has opened in BatteryParkCitya few blocks south of West Thames Street. The bridge is expected to be constructed by 2014.

Location Description:

The pedestrian bridge will span West Street in the vicinity of its intersection with West Thames Street. The eastern landing of the bridge will be to the north of theMTA-owned Brooklyn Battery Garage and the western landing will be in the vicinity of the Battery Park City Esplanade alongWest Street.

Activity Progress Narrative:

In the 1st quarter of 2012, pedestrian managers funded by LMDC through the West Street Pedestrian Project enhanced the safety of residents, workers, and visitors crossing West Street at West Thames Street. A conceptual design for the Bridge is under evaluation.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: LM-0300-EREP

Activity Title: East River Esplanade and Piers

Activity Category:

Acquisition, construction, reconstruction of public facilities

Activity Status:

Under Way

Project Number:

0300

Project Title:

Other World Trade Center Area Improvements

Projected Start Date:

06/01/2012

Projected End Date:

06/30/2015

Benefit Type:

Direct (Person)

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

Lower Manhattan Development Corporation with

Overall	Jan 1 thru Mar 31, 2012	To Date
Total Projected Budget from All Sources	N/A	\$17,000,000.00
Total Budget	\$17,000,000.00	\$17,000,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
Lower Manhattan Development Corporation with agreements	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

East River Waterfront Esplanade and Piers--LMDC funded the East River Waterfront Development Study (Waterfront Study) that concluded in 2005 with an overall plan for the eastern waterfront in Lower Manhattan (Waterfront Plan). The Waterfront Plan gave rise to several projects that are funded by LMDC, notably the East River Waterfront Esplanade and Piers (Esplanade Project) and the East River Waterfront Access (Access Project). Partial Action Plan 10 describes these projects and allocates a total of \$150 million to the Esplanade Project and the Access Project. The Waterfront Study contemplated several components along the waterfront that are not included in scope of the Esplanade and Access Project previously funded by LMDC. -- This allocation will provide funding for four components of the Waterfront Study that were not fully funded as part of the Esplanade Project: (a) walkway improvements along the Battery Maritime Building; (b) improvements to the area between Piers 15 and 16; (c) improvements to the area between Catherine and Pike Slips, including infrastructure work; and (d) widening and upgrading of the land in the area of Pier 42 to provide a connector from the waterfront to East River Park. More information is available on the LMDC website under Partial Action Plan 10.

Location Description:

The project proposes revitalization of the area running along the East River Waterfront from Battery Park to the south, Pier 42 to the north, and South Street/FDR Drive to the east in Lower Manhattan.

Activity Progress Narrative:

EAST RIVER WATERFRONT ESPLANADE AND PIERS

In the first quarter of 2012, construction continued for Esplanade package 2, Pier 15 Upland, and Maiden Lane Pavilion. Construction management and design services were performed for the Esplanade and Piers project. Pier 15 is open to the public.

In January 2012, the LMDC Board authorized using \$2M of the \$17M to enter into a Subrecipient Agreement with the Department of Parks and Recreation for the East River Waterfront Pier 42 and Connector Project. The remaining \$15M for the



East River Waterfront Esplanade & Piers project will be implemented as previously authorized through an amendment to the Subrecipient Agreement with the Department of Small Business Services for Esplanade Segment C work.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

Match Sources	Amount
LMDC CDBG Grant Number B-02-DW-36-0001	\$139,580,000.00
Subtotal Match Sources	
Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number: LM-0300-MEM

Activity Title: World Trade Center Memorial & Museum

Activity Category:

Acquisition, construction, reconstruction of public facilities

Activity Status:

Under Way

Project Number:

0300

Project Title:

Other World Trade Center Area Improvements

Projected Start Date:

01/01/2011

Projected End Date:

12/31/2015

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Slums and Blight

Responsible Organization:

Lower Manhattan Development Corporation

Overall

	Jan 1 thru Mar 31, 2012	To Date
Total Projected Budget from All Sources	N/A	\$35,000,000.00
Total Budget	\$0.00	\$35,000,000.00
Total Obligated	\$0.00	\$35,000,000.00
Total Funds Drawdown	\$0.00	\$24,993,174.16
Program Funds Drawdown	\$0.00	\$24,993,174.16
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$24,993,174.16
Lower Manhattan Development Corporation	\$0.00	\$24,993,174.16
Match Contributed	\$0.00	\$0.00

Activity Description:

WORLD TRADE CENTER MEMORIAL AND MEMORIAL MUSEUM&mdash

This activity provides additional funding for planning, design, construction, and exhibitions for the National September 11 Memorial and Memorial Museum at the World Trade Center as described in activity W-MEM-0171 of LMDC grant B02DW360001.

Additional funds are allocated for the following three elements: (a) \$25 million for construction of the Memorial/Museum, (b) \$7.5 million for the Memorial Museum Pavilion, which serves as the entrance to the Museum, to cover costs of construction and fit-out, including expenses relating to security screening, information technology, auditorium, and special features in the area of the signature tridents from the original Twin Towers, and (c) \$2.5 million to plan and manage safe public access to the Memorial starting with its opening in September 2011, including creation of temporary visitor orientation and screening facilities, pedestrian safety services, wayfinding signage, construction fencing, bus management, and interim communications systems.

BENEFICIARIES

The thousands of relatives and friends of the nearly 3,000 victims of September 11, 2001 and February 26, 1993 will benefit from the memorial and museum to honor their loved ones. Others immediately affected by the events, including survivors, area residents and workers, will equally benefit from the project. This project will also benefit the thousands of visitors to the Memorial and Museum, which will allow them to honor those who were killed in the attacks. The Memorial and Memorial Center will foster greater public awareness of the events of February 26, 1993 and September 11, 2001, and their impact on the victims' families, survivors, area residents, New York City, and beyond.

SCHEDULE

The Memorial opened on September 11, 2011. The project continues through the opening of the Memorial Museum in 2012.

FEDERAL AND OTHER RESOURCES

The Memorial and Memorial Museum will be funded with a combination of private funds donated by public investment and



individuals and groups to the National September 11th Memorial and Museum at the World Trade Center Foundation, Inc (NS11MM). The NS11MM uses its own resources to engage in fundraising for both public sector and private sector funds, and individual donations, to accomplish its mission.

TOTAL ESTIMATED COST

In Partial Action Plans 8, 11, 12, and the Final Action Plan, LMDC allocated funds for planning, design, construction, and exhibitions for the World Trade Center Memorial and Museum. This activity provides an additional \$35 million.

Location Description:

Project Area -- The Plan Project Area s located in Lower Manhattan on two sites:(i)the WTC Site, an approximately16 acre super block, bounded by West, Vesey, Church and Liberty Streets; and (ii) two adjacent city blocks south of the WTC Site (one bounded by Liberty, Washington, Albany and Greenwich Streets, and the other bounded by Liberty, West, Cedar and Washington Streets), Washington Street between Liberty and Cedar Streets, and subsurface portions of Liberty Street and other streets in and around those blocks (collectively, the "Southern Site").

Activity Progress Narrative:

In the first quarter of 2012, the National 9/11 Memorial and Museum continued designing and producing exhibition materials for the National 9/11 Memorial Museum. A total of 2,951 portraits of victims have been selected. Biographical sketches have been drafted for 2,983 of the victims.

Total attendance to the Memorial in the first quarter of 2012 was over 1 million. Visitors came from all 50 states and from 150 different countries. Approximately 10% of the visitors came from tour groups. About 3% of these groups arrived by bus.

Accomplishments Performance Measures

	This Report Period Total	Cumulative Actual Total / Expected Total
# of Public Facilities	0	0/2

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
LMDC CDBG Grant Number B-02-DW-36-0001	\$284,525,972.00
Total Other Funding Sources	\$0.00



Grantee Activity Number: LM-0300-PAC

Activity Title: WTC Performing Arts Center

Activity Category:

Acquisition, construction, reconstruction of public facilities

Activity Status:

Planned

Project Number:

0300

Project Title:

Other World Trade Center Area Improvements

Projected Start Date:

01/01/2012

Projected End Date:

06/30/2019

Benefit Type:

Direct (Person)

Completed Activity Actual End Date:

National Objective:

Slums and Blight

Responsible Organization:

The National September 11 Memorial & Museum at the

Overall

	Jan 1 thru Mar 31, 2012	To Date
Total Projected Budget from All Sources	N/A	\$100,000,000.00
Total Budget	\$100,000,000.00	\$100,000,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
The National September 11 Memorial & Museum at the	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

World Trade Center Performing Arts Center. The World Trade Center Memorial and Cultural Program provides for cultural uses on the rebuilt WTC site as part of a variety of important uses provided in the overall WTC Plan. In support of that Program, LMDC has already allocated \$60,004,000 for planning, development, preliminary implementation, and construction of the World Trade Center Performing Arts Center in Partial Action Plans 8 and 11, as amended. This allocation of \$100,000,000 for the Performing Arts Center will provide for construction costs and strategic planning efforts. The strategic planning efforts will include the creation and administration of a non-profit entity dedicated to the Performing Arts Center. More information is available on the LMDC website under Partial Action Plans 8 and 11.

Location Description:

PROJECT AREA--The WTC Plan Project Area is located in Lower Manhattan on two sites: (i) the WTC Site, an approximately 16 acre super block, bounded by West, Vesey, Church, and Liberty Streets; and (ii) two adjacent city blocks south of the WTC Site (one bounded by Liberty, Washington, Albany and Greenwich Streets, and the other bounded by Liberty, West, Cedar and Washington Streets), Washington Street between Liberty and Cedar Streets, and subsurface portions of Liberty Street and other streets in and around those blocks (collectively, the "Southern Site").

Activity Progress Narrative:

During the first quarter of 2012, negotiations of agreements continued between LMDC, the City, and the Port Authority for the construction of below grade structures for the PAC.

The Port Authority engaged in project administration and compiling required project reports for compliance with the \$2M Subrecipient Agreement between LMDC and the Port Authority for design services for the Performing Arts Center foundations. The Port Authority continues to work with LMDC to provide project information and budget regarding their request to use some of the contingency funds in this Subrecipient Agreement.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
LMDC CDBG Grant Number B-02-DW-36-0001	\$60,004,000.00
Total Other Funding Sources	\$0.00



Grantee Activity Number:	LM-0300-TBD
Activity Title:	Other World Trade Center Area Improvements - TBD

Activity Category:

Acquisition, construction, reconstruction of public facilities

Activity Status:

Planned

Project Number:

0300

Project Title:

Other World Trade Center Area Improvements

Projected Start Date:

01/01/2011

Projected End Date:

12/31/2015

Benefit Type:

()

Completed Activity Actual End Date:

National Objective:

Slums and Blight

Responsible Organization:

Lower Manhattan Development Corporation

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2012

N/A

To Date

\$24,617,913.00

Total Budget

(\$137,000,000.00)

\$24,617,913.00

Total Obligated

(\$137,000,000.00)

\$24,617,913.00

Total Funds Drawdown

\$0.00

\$0.00

Program Funds Drawdown

\$0.00

\$0.00

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$0.00

\$0.00

Lower Manhattan Development Corporation

\$0.00

\$0.00

Match Contributed

\$0.00

\$0.00

Activity Description:

Activity Description

Other World Trade Center Area Improvements (To be Determined) - \$161,617,913

Funds in this category would assist properties and businesses damaged by, and economic revitalization directly related to, the September 11 terrorist attacks on New York City. Funding for Other World Trade Center Area Improvements will fund activities and costs associated with World Trade Center Memorial and cultural facilities, and affordable housing, education, infrastructure, open space, quality of life, transportation, and economic development projects benefiting the area. Partial Action Plan amendments identifying these projects and funding levels will be made available for public comment. This category was funded by a reallocation from the amounts allocated to but not needed to fund the approved claims under Categories 1 and 2 of the URIR program.

LMDC solicited community input regarding potential uses for these funds at a Public Forum in October 2010. Individuals, elected officials, and representatives of community organizations and other not-for-profit organizations provided oral and written testimony regarding the proposed reallocation of these funds.

Location Description:

Eligible projects will be located in Lower Manhattan in the World Trade Center Area.

Activity Progress Narrative:

LMDC has not yet determined the disposition of these funds.

In the quarter, corrections were made to the obligated amounts shown in this report.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: Loss-233

Activity Title: Loss-233

Activity Category:

Payment for compensation for economic losses (WTC-only)

Project Number:

0233

Projected Start Date:

09/15/2003

Benefit Type:

()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Disproportionate Loss

Projected End Date:

06/30/2007

Completed Activity Actual End Date:

Responsible Organization:

Empire State Development Corporation

Overall

	Jan 1 thru Mar 31, 2012	To Date
Total Projected Budget from All Sources	N/A	\$33,000,000.00
Total Budget	\$0.00	\$33,000,000.00
Total Obligated	\$0.00	\$33,000,000.00
Total Funds Drawdown	\$0.00	\$32,999,997.00
Program Funds Drawdown	\$0.00	\$32,999,997.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$32,999,997.00
Empire State Development Corporation	\$0.00	\$32,999,997.00
Match Contributed	\$0.00	\$0.00

Activity Description:

BUSINESS RECOVERY FROM DISPROPORTIONATE LOSS OF WORKFORCE PROGRAM ---- PROGRAM OBJECTIVES ---- The primary objective of this program is to assist Lower Manhattan firms that lost a disproportionate share of their workforce due to the attacks of September 11, 2001. While such firms may have qualified for other forms of economic recovery and revitalization assistance offered under other HUD funded Action Plan programs, this program recognizes that such disproportionate loss impacts a firm's ability to survive and recover beyond the other forms of assistance previously offered. It is the primary objective of this program to ensure the stabilization and recovery of such disproportionately impacted firms. The federal appropriation provided \$33,000,000 to assist those firms located in New York City at the time of the terrorist attacks which suffered a disproportionate loss of its workforce and who intend to re-establish their operations in New York City. The secondary objective of this program is to assist in the economic revitalization of New York City, by ensuring that these disproportionately impacted firms retain, resume or relocate their operations and employment in New York City. ---- PROGRAM ELIGIBILITY ---- Firms eligible for assistance under this program must meet the following criteria: 1) As of September 11, 2001, the business had operations in the Restricted Zone (as defined below as the eligible area) and, through its own operations or the operations of its affiliates, has continued business operations, or resumed business operations within New York City. 2) As a result of the September 11, 2001 attacks, the business suffered a loss of life equal to at least six permanent employees AND at least 20% of its permanent workforce OR at least 50 permanent employees located in New York City. 3) At the time of a contract for award from this program, the business, through its own operations or the operations of its affiliates, must have maintained or returned a New York City workforce equal to at least 50% of its New York City workforce on September 10, 2001, after the loss of life suffered in the attacks has been deducted. 4) The business must operate as a for-profit entity or a not-for-profit organization established under Sections 501(c), 501(e), 501(f), 501(k), 501(n) or 521(a) of the Federal Tax Code. ---- ELIGIBLE AREA ---- Firms eligible for assistance under this program must have operated from business premises, located in Manhattan on or south of Canal Street on and before September 11, 2001. ---- PROGRAM AWARD CRITERIA ---- Eligibility under this program does not imply entitlement to a specified grant. ESD/EDC will divide the entire \$33 million in assistance among the eligible firms by weighing, in combination, the following factors: 1. Magnitude of Employee Loss: the total number of employees lost as a result of the September 11, 2001 attacks. 2. Proportionality of Employee Loss: the percentage of the firm's New York City workforce lost as a result of the September 11, 2001 attacks. 3. Current Location of Eligible Firm Within New York City: if at least 50% of an eligible firm's Lower Manhattan (Manhattan, South of Canal Street in



workforce as of September 10, 2001, less its lost employees, have resumed working in Lower Manhattan as of May 15, 2003, the overall weighting factor will be increased by 10%. Each eligible company will be assigned an overall weighting factor based on the number of employees lost multiplied by the percentage of the firm's New York City workforce lost. The portion of the program funds available for each company will equal each company's overall weighting factor as a percentage of the sum of all of the eligible companies' weighting factors. ----- APPLICATION ----- Eligible firms must file a completed application for program assistance to include the following: 1) Application cover form, completed and signed 2) Documentation of the firm's location within the Restricted Zone on and before September 10, 2001. 3) Documentation of employment including: i) total New York City workforce as of September 10, 2001 ii) employees that lost their lives as a result of the September 11, 2001 attacks iii) current New York City workforce at the time of application ----- APPLICATION SUBMISSION AND DEADLINE ----- The deadline for application to this program will be established as 30 days from the date on which HUD grants final approval of this Partial Action Plan which date will be indicated on the version of this plan as approved by HUD and posted on LMDC's web site in the Funding Initiatives section. Eligible firms wishing to receive application materials should contact: WTC Special Appropriation for Disproportionate Loss Grant Program c/o Strategic Business Division Empire State Development Corporation 633 Third Avenue New York, NY 10017 Telephone: 212/803.3128 ----- GRANT RECAPTURE ----- If the grantee fails to maintain at least 50% of the New York City employment level agreed to at the time of award contract for a period of three years, ESD will request repayment of the award.

Location Description:

Firms eligible for assistance under this program must have operated from business premises, located in Manhattan on or south of Canal Street on and before September 11, 2001.

Activity Progress Narrative:

Closed

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	10/10
# of Non-business Organizations	0	10/0

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Wage-Earners benefitting	0	0	0	37/0	350/0	387/0	100.00

Activity Locations

Address	City	County	State	Zip	Status / Accept
			New York	-	Not Validated / N

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: PA

Activity Title: PA

Activity Category:

Administration

Project Number:

0900

Projected Start Date:

09/15/2003

Benefit Type:

()

National Objective:

N/A

Activity Status:

Completed

Project Title:

Administration

Projected End Date:

06/30/2012

Completed Activity Actual End Date:

Responsible Organization:

Empire State Development Corporation

Overall

	Jan 1 thru Mar 31, 2012	To Date
Total Projected Budget from All Sources	N/A	\$17,500,000.00
Total Budget	\$0.00	\$17,500,000.00
Total Obligated	\$0.00	\$15,000,000.00
Total Funds Drawdown	\$405.00	\$11,985,712.43
Program Funds Drawdown	\$405.00	\$11,985,712.43
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$405.00	\$11,985,712.75
Empire State Development Corporation	\$405.00	\$11,985,712.75
Match Contributed	\$0.00	\$0.00

Activity Description:

The Partial Action Plan reserves \$17.5million, or 2% of the total fund, for costs related to ESD/EDC administration of the program as well as LMDC's oversight. The Plan reserves \$3.5 million for program monitoring costs by LMDC and \$10 million to be administered by ESD for program management costs. Program administration costs are anticipated for program publication, review of proposed infrastructure investments, environmental review clearance, financial evaluation and processing of funding requests, and auditing of disbursed funds. The Plan sets aside \$4.0 million to upgrade the New York City MOSAICS system. Roadway construction to be performed with Partial Action Plan funds is administered via a City Department of Transportation permit and construction administration system known as MOSAICS. Upgrading the MOSAICS system will facilitate and improve permit administration, construction planning, and program audit functions for the level of work proposed by the Partial Action Plan. Any unused funds from Program Administration may be reallocated to meet funding needs in other categories.

Location Description:

N/A

Activity Progress Narrative:

PLANNING AND ADMINISTRATION - UTILITIES RESTORATION AND INFRASTRUCTURE REBUILDING (URIR) PROGRAM.--During the quarter, funds were drawn down to provide reimbursement for unemployment insurance expenses related to former utility program management staff.
MOSAICS

In the 1st quarter of 2012, NYC DOT established a design team to start application architecture and development planning for the Lower Manhattan Permit Management System.



The team is reviewing the requirement deliverables completed in 2011, analyzing application design options, and building a proof-of-concept web application for permit search.

Integrating real-time permit data from Mainframe MOSAICS with the City's digital street base map will allow NYC DOT to search for permits issued in Lower Manhattan and identify associated details in a browser-based map interface.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

